TROUTMAN SANDERS LLP

Defendant-Counterclaimant EMPIRE DISTRIBUTION, INC. ("EMPIRE") hereby submits this Statement of Genuine Disputes of Material Fact in Opposition to Fox's Motion for Summary Judgment.

# FOX'S ALLEGED UNCONTROVERTED FACTS

# EMPIRE'S RESPONSE AND EVIDENCE

### **First Amendment**

1. *Empire* is a musical dramatic television series set in New York, which tells the story of a fictional company called Empire Enterprises.

### **Objection/Disputed in part.**

EMPIRE objects to this statement on the following grounds:

- (1) Lacks foundation.
- (2) Hearsay.
- (3) Lacks authentication.

Subject to these objections, EMPIRE does not dispute that *Empire* is a musical dramatic television series. However, there is conflicting evidence as to where it is "set," there is no evidence submitted by Fox that it is based on a "fictional" company (and there is evidence to the contrary including statements from Fox that it is based on individuals and events from the real world music industry), and the company is actually called "Empire Entertainment," though it is also referred to in the series as "Empire Enterprises" and generally just as "Empire" in

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	1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
	3		connection with the series and the sale
	4		of music.
	5		Fox's Evidence:
	6		• Bywater Decl. ¶ 2
	7		• Ryan Decl. ¶ 2
	8		• Defendant's Answer to
	9		Complaint, attached to Lens
	10		Decl. as Ex. 3 at p. 37 ¶ 10; p.
<u></u> -	11		38 ¶ 23
DERS LI za ) :4-2545	12		• Defendant's Counterclaims,
IROUTMAN SANDERS LLP 5 Park Plaza Suite 1400 Irvine, CA 92614-2545	13		attached to Lens Decl. as Ex. 4
ROUTM / 51	14		at p. 54 ¶ 20
RT.	15		• DVDs of <i>Empire</i> , lodged with
	16		Court as Exs. 11 & 13 to Lens
	17		Decl., passim, including, e.g.,
	18		Season 1, Episode 1 at 2:57
	19		(Statue of Liberty); Season 1,
	20		Episode 2 at 47:53-57
	21		(Lucious Lyon's New York
	22		license plate and Statue of
	23		Liberty); Season 1, Episode 4
	24		at 36:06 (announcement for
	25		event in NYC at Leviticus, the
	26		club owned by Empire
	27		Enterprises)
	28	27721652v2 - 2	······································
		2/1/21032V2	

1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		Blazek Decl. ¶ 2
4		EMPIRE's Evidence:
5		• DVDs of <i>Empire</i> , lodged with
6		Court as Ex. 11 to Lens Decl.
7		including Season 1 Pilot at
8		6:31-6:39 ("I am proud to
9		announce that <b>Empire</b>
10		Entertainment has filed to
11		become a publicly traded
12		company on the New York
13		Stock Exchange."); 45:16-25
14		("Ladies and gentlemen, it is
15		my great honor to welcome
16		back the heart and soul of
17		Empire Enterprises, Ms.
18		Cookie Lyon"; 45:16-25; 7:15-
19		7:20 ("Your brother and I have
20		been working hard to turn
21		Empire into a publicly traded
22		company"; 9:20-9:22
23		(reference to "Empire");
24		27:32-34 (reference to
25		"Empire"); 45:55 (reference to
26		"Empire"); see also signage,
27		symbols and labels reflecting
28	27721652v2 - 3	3 -

FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
	the name "Empire" throughout
	series; 33:16-33:23 ("You're so
	pure only a couple hundred
	white kids in Brooklyn and
	San Francisco even know
	your stuff.")
	• Ryan Decl. ¶ 6 (various logos
	reflecting use of the standalone
	name "Empire")
	• Bowler Decl., ¶ 4, Ex. 69
	(Bywater Deposition
	Transcript) at 48:22-25, 49:3-
	5, 71:22-24, 72:5-7, 72:9-10,
	72:14, 72:24-13, 73:16-18,
	73:23-74:17, 75:18-24, 83:25-
	84:7, 84:11-21; 89:10-25, 90:1,
	90:8-14, 91:2-3, 91:6-7, 91:10-
	17, 92:4-7, 92:10-19; 93:1-11;
	Bywater Exs. 6, 7.
2. In <i>Empire</i> , when drug-dealer-	Objection/Disputed in part.
turned-music-mogul Lucious Lyon	EMPIRE objects to this statement on the
(played by played by Academy Award-	following grounds:
nominee Terrence Howard) is diagnosed	(1) Improper citations in violation of the
with a fatal disease, his ex-wife, Cookie	Court's Scheduling Order dated July 23,
(played by Academy Award-nominee	2015 stating that "[n]o party should
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1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3	Taraji P. Henson), and their three sons	submit any evidence other than the
4	fight for future control over Lucious'	specific items of evidence or testimony
5	entertainment company.	necessary to support or controvert a
6		proposed statement of undisputed fact
7		" (Scheduling Order, p.4:12-17.)
8		(2) Lacks foundation.
9		(3) Hearsay.
10		(4) Lacks authentication.
11		Subject to these objections, EMPIRE
12		does not dispute that Lucious Lyon is
13		played by Terrence Howard and that
14		Cookie Lyon is played by Taraji
15		Henson, or that Lucious Lyon is a "drug
16		dealer-turned-music mogul." However,
17		that is a not a complete description of
18		Lucious Lyon's character as he is also a
19		murderer, felon, homophobic and child
20		abuser.
21		Fox's Evidence:
22		• Cover of DVD set of <i>Empire</i> :
23		The Complete First Season,
24		attached to Lens Decl. as Ex.
25		10 at p. 135
26		• DVDs of <i>Empire</i> , lodged with
27		Court as Exs. 11 & 13 to Lens
28	27721652v2	5 -

FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
	Decl., passim
	• Blazek Decl. ¶ 2
	• February 16, 2015 Letter From
	Defendant's Counsel To Fox
	(Exhibit A to Fox's
	Complaint), attached to Lens
	Decl. as Ex. 2 at p. 23.
	EMPIRE's Evidence:
	• DVDs of <i>Empire</i> , lodged with
	Court as Ex. 11 to Lens Decl.
	including Season 1 Pilot at
	42:00-43:15 (murder scene);
	31:18-31:50 (scene of Lucious
	throwing his young gay child
	in garbage can); 44:33-45:02
	("You really aren't ashamed of
	[gay son Jamal] I'm gonna
	show you a faggot really can
	run this company."
3. The fictional Empire Enterprises	Objection/Disputed in part.
produces hip-hop and R&B hits, owns a	EMPIRE objects to this statement on the
nightclub, makes champagne and	following grounds:
sneakers, and generates hundreds of	(1) Lacks foundation.
millions of dollars in annual revenue.	(2) Hearsay.
	(3) Lacks authentication.

to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode	$\begin{bmatrix} 2 \end{bmatrix}$	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
refers to the "fictional Empire Enterprises". There is no evidence submitted by Fox that it is based on a "fictional" company and there is evidence to the contrary including statements from Fox that it is based on individuals and events from the real world music industry. Moreover, the company is actually called "Empire Entertainment," though it is also referre to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  • DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			Subject to these objections, EMPIRE
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submitted by Fox that it is based on a "fictional" company and there is evidence to the contrary including statements from Fox that it is based on individuals and events from the real world music industry. Moreover, the company is actually called "Empire Entertainment," though it is also referre to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode 2 at 1:38			refers to the "fictional Empire
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evidence to the contrary including statements from Fox that it is based on individuals and events from the real world music industry. Moreover, the company is actually called "Empire Entertainment," though it is also referre to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  • DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode 2 at 1:38			submitted by Fox that it is based on a
statements from Fox that it is based on individuals and events from the real world music industry. Moreover, the company is actually called "Empire Entertainment," though it is also referre to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			"fictional" company and there is
individuals and events from the real world music industry. Moreover, the company is actually called "Empire Entertainment," though it is also referred to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  DVDs of Empire, lodged with Court as Exs. 11 & 13 to Lender Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			evidence to the contrary including
world music industry. Moreover, the company is actually called "Empire Entertainment," though it is also referred to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  DVDs of Empire, lodged with Court as Exs. 11 & 13 to Lender Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			statements from Fox that it is based on
company is actually called "Empire Entertainment," though it is also referre to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  • DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			individuals and events from the real
Entertainment," though it is also referred to in the series as "Empire Enterprises" and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  DVDs of Empire, lodged with Court as Exs. 11 & 13 to Lender Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			world music industry. Moreover, the
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and generally just as "Empire" in connection with the series and the sale of music.  Fox's Evidence:  DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			Entertainment," though it is also referred
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Fox's Evidence:  • DVDs of Empire, lodged with Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			connection with the series and the sale
• DVDs of <i>Empire</i> , lodged with Court as Exs. 11 & 13 to Len Decl., <i>passim</i> , including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			of music.
Court as Exs. 11 & 13 to Len Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			Fox's Evidence:
Decl., passim, including, e.g., Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			• DVDs of <i>Empire</i> , lodged with
Season 1, Episode 2 at 34:56 (nightclub); Season 1, Episode 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			Court as Exs. 11 & 13 to Lens
(nightclub); Season 1, Episod 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			Decl., <i>passim</i> , including, e.g.,
(nightclub); Season 1, Episod 8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			
8 at 28:46 (champagne); Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			-
Season 1, Episode 2 at 1:38 (sneakers); Season 1, Episode			
(sneakers); Season 1, Episode			
			-
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1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		9 at 31:59 (revenue)
4		Blazek Decl. ¶ 2
5		EMPIRE's Evidence:
6		• DVDs of <i>Empire</i> , lodged with
7		Court as Ex. 11 to Lens Decl.
8		including Season 1 Pilot at
9		6:31-6:39 ("I am proud to
10		announce that <b>Empire</b>
11		Entertainment has filed to
12		become a publicly traded
13		company on the New York
14		Stock Exchange."); 45:16-25
15		("Ladies and gentlemen, it is
16		my great honor to welcome
17		back the heart and soul of
18		Empire Enterprises, Ms.
19		Cookie Lyon"; 45:16-25; 7:15-
20		7:20 ("Your brother and I have
21		been working hard to turn
22		Empire into a publicly traded
23		company"; 9:20-9:22
24		(reference to "Empire");
25		27:32-34 (reference to
26		"Empire"); 45:55 (reference to
27		"Empire"); see also signage,
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1	FOX'S ALLEGED	EMPIRE'S RESPONSE AND
2	UNCONTROVERTED FACTS	EVIDENCE
3		symbols and labels reflecting
4		the name "Empire" throughout
5		series; 33:16-33:23 ("You're so
6		pure only a couple hundred
7		white kids in Brooklyn and
8		San Francisco even know
9		your stuff.")
10		• Ryan Decl. ¶ 6 (various logos
11		reflecting use of the standalone
12		name "Empire")
13		• Bowler Decl., ¶ 4, Ex. 69
14		(Bywater Deposition
15		Transcript) at 48:22-25, 49:3-
16		5, 71:22-24, 72:5-7, 72:9-10,
17		72:14, 72:24-13, 73:16-18,
18		73:23-74:17, 75:18-24, 83:25-
19		84:7, 84:11-21; 89:10-25, 90:1,
20		90:8-14, 91:2-3, 91:6-7, 91:10-
21		17, 92:4-7, 92:10-19; 93:1-11;
22		Bywater Exs. 6, 7.
23	4. <i>Empire</i> features songs in each	Undisputed.
24	episode, including original songs	
25	produced by Timothy "Timbaland"	
26	Mosley.	
27	5. The Soundtracks comprise songs	Objection/Undisputed in part.
28	07701650.0	0 _

FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
that appear in <i>Empire</i> .	EMPIRE objects to this statement on the
	following grounds:
	(1) Vague and ambiguous as to the term
	"Soundtracks" in this context.
	(2) Lacks foundation.
	Subject to these objections, and with the
	understanding that the term
	"Soundtracks" only refers to the two
	compilation CDs released by Fox (and
	not all other singles and EPs), Empire
	does not dispute that the Original
	Soundtrack from Season 1 and the
	Original Soundtrack Season 2 include
	songs that appear in the <i>Empire</i> series.
6. Defendant has not alleged in the	Objection/Disputed.
Counterclaims that Fox has made any	EMPIRE objects to this statement on the
statements explicitly misleading	following grounds:
consumers as to the source of <i>Empire</i> of	or (1) This is not a statement of fact as
the Soundtracks.	required by Local Rule 56-1 and this
	Court's Scheduling Order dated July 23,
	2015 (Dkt. 23, p.3 ¶5.)
	(2) Irrelevant.
	(3) Argumentative.
	(4) Lacks foundation.
	Subject to these objections, EMPIRE

1		
2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		disputes this statement as there are
4		numerous such allegations in the
5		Counterclaims. Moreover, Fox's
6		citation to the alleged absence of
7		allegations in the Counterclaims is an
8		improper attempt to circumvent all of
9		the relevant evidence that has been
10		provided to Fox in discovery as set forth
11		in EMPIRE's additional undisputed facts
12		below.
13		Fox's Evidence:
14		Defendant's Counterclaims,
15		attached to Lens Decl. as Ex. 4
16		at pp. 47-71
17		EMPIRE's Evidence:
18		• EMPIRE's Counterclaims,
19		Lens Decl., Ex. 4 ¶¶ 1, 20, 21,
20		22, 23, 32, 38, 45, 51, 53, 60,
21		and 61.
22	7. Fox's products do not contain any	Objection/Disputed.
23	representation as to Empire	EMPIRE objects to this statement on the
24	Distribution's endorsement or affiliation.	following grounds:
25		(1) Vague and ambiguous as to the terms
26		"Fox's products", and "endorsement or
27		affiliation."
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1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		(2) Improper citations in violation of the
4		Court's Scheduling Order dated July 23,
5		2015 stating that "[n]o party should
6		submit any evidence other than the
7		specific items of evidence or testimony
8		necessary to support or controvert a
9		proposed statement of undisputed fact
10		" (Scheduling Order, p.4:12-17.)
11		(3) Lacks foundation.
12		(4) Hearsay.
13		(5) Irrelevant.
14		Subject to these objections, EMPIRE
15		disputes this statement as EMPIRE
16		contends that Fox's use of the "Empire"
17		mark in connection with all goods and
18		services promoted, advertised, marketed,
19		distributed and sold in connection with
20		the <i>Empire</i> series and <i>Empire</i> series
21		music (including, but not limited to,
22		DVDs, CDs, singles, digital extended
23		plays ("EPs"), apparel and accessories)
24		misleads and confuses consumers as to
25		the endorsement or affiliation of such
26		goods and services.
27		
28		

1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		Fox's Evidence:
4		• DVDs of <i>Empire</i> , lodged with
5		Court as Exs. 11 & 13 to Lens
6		Decl., passim
7		• Blazek Decl. ¶ 2
8		<ul> <li>CDs of Soundtracks, lodged</li> </ul>
9		with Court as Exs. 15 & 17 to
10		Lens Decl., passim
11		• Cover of DVD set of <i>Empire</i> :
12		The Complete First Season,
13		attached to Lens Decl. as Ex.
14		10 at p. 135
15		• Covers of albums <i>Empire</i> :
16		Original Soundtrack From
17		Season 1 and Empire:
18		Original Soundtrack Season 2
19		Volume 1, attached to Lens
20		Decl. as Exs. 14 & 16 at pp.
21		143, 145
22		<ul> <li>Amazon.com sales pages for</li> </ul>
23		Empire: Music From The Pilot
24		and Empire: Music From A
25		Man Sinned Against, attached
26		to Lens Decl. ¶¶ 17-18 as Exs.
27		18-19 at pp. 147-50 Ryan
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1	FOX'S ALLEGED	EMPIRE'S RESPONSE AND
2	UNCONTROVERTED FACTS	EVIDENCE
3		Decl. ¶ 6
4		EMPIRE's Evidence:
5		• Shami Decl., ¶¶ 84, 92, 93, 94,
6		100, 114; Simon Decl. ¶¶ 2-3;
7		Bowler Decl., ¶ 8, Ex. 73
8		(Expert Report of Dr. Kristen
9		J. Lieb) at ¶3, 34-45, 48;
10		McDaniels Decl. ¶¶ 15-17;
11		Douthit Decl. ¶¶ 14; Julien
12		Decl. ¶¶ 22-26
13	Likelihood of Confusion	
14	8. Defendant is a music record label	Undisputed in part.
15	and music distribution company.	EMPIRE does not dispute that it is a
16		music record label and music
17		distribution company, but that is not a
18		

# 8. Defendant is a music record label and music distribution company. EMPIRE does not dispute that it is a music record label and music distribution company, but that is not a complete description of its business. EMPIRE is a full-service music company under the umbrella brand name "EMPIRE" which includes "EMPIRE Distribution" (which provides distribution goods and services), "EMPIRE Recordings" (which offers recording goods and services), and "EMPIRE Publishing" (which provides publishing goods and services).

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1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		(Shami Decl., ¶¶ 6-21)
4	9. Defendant does not allege in its	Objection/Disputed.
5	Counterclaims that it produces broadcast	EMPIRE objects to this statement on the
6	television programming or that it intends	following grounds:
7	to expand into broadcast television	(1) This is not a statement of material
8	programming.	fact as required by Local Rule 56-1 and
9		this Court's Scheduling Order dated July
10		23, 2015 (Dkt. 23, p.3 ¶5).
11		(2) Irrelevant.
12		(3) Argumentative.
13		(4) Lacks foundation.
14		(5) Vague and ambiguous as to the term
15		"broadcast television programming".
16		Subject to these objections, EMPIRE
17		disputes this statement in that EMPIRE
18		has been involved in broadcast
19		television programming and intends to
20		expand into broadcast television
21		programming.
22		Fox's Evidence:
23		Defendant's Counterclaims,
24		attached to Lens Decl. as Ex. 4
25		at pp. 47-71
26		EMPIRE's Evidence:
27		• Shami Decl. ¶¶ 136-141
28		

### 1 FOX'S ALLEGED **EMPIRE'S RESPONSE AND** 2 **UNCONTROVERTED FACTS EVIDENCE** 3 10. Defendant claims the rights to Objection/Disputed. 4 three marks, none of which is federally EMPIRE objects to this statement on the 5 registered: "Empire," the application for following grounds: 6 (1) Lacks foundation. which was submitted in April 2015, and 7 "Empire Recordings" and "Empire (2) Hearsay. 8 Distribution," the applications for which (3) Lacks authentication. 9 were submitted in December 2014, after Subject to these objections, EMPIRE 10 Fox announced on November 19, 2014 disputes this statement. Contrary to 11 the forthcoming premiere of *Empire*. Fox's statement, EMPIRE applied for 12 federal registration of the "EMPIRE 13 DISTRIBUTION" and "EMPIRE 14 RECORDINGS" marks on January 24, 15 2014, before Fox announced the 16 premiere of *Empire*. Moreover, contrary 17 to Fox's statement, the USPTO has 18 approved the following five EMPIRE 19 trademark applications, and will be 20 publishing same on January 19, 2016: 21 (1) EMPIRE DISTRIBUTION (Serial 22 No. 86174484); (2) EMPIRE 23 DISTRIBUTION (Serial No. 24 86477123); (3) EMPIRE (Serial No. 25 86174393); (4) EMPIRE (Serial No. 26 86590415); and (5) EMPIRE (Serial No. 27 86590385). 28

1		
2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		
4		Fox's Evidence:
5		• <i>Compare</i> Fox's Complaint,
6		attached to Lens Decl. as Ex. 2
7		at p. 11 ¶ 14-16 <i>with</i>
8		Defendant's Answer to
9		Complaint, attached to Lens
10		Decl. as Ex. 3 at p. 37 ¶¶ 14-
11		16
12		• Reynolds Decl. ¶¶ 8-14
13		• Ryan Decl. ¶ 2
14		EMPIRE's Evidence:
15		• Hobbs Decl. ¶ 4-9, Ex. 1.
16	11. The USPTO has over 650	Objection/Disputed.
17	registered or pending applications for	EMPIRE objects to this statement on the
18	"empire" related marks.	following grounds:
19		(1) Lacks foundation.
20		(2) Hearsay.
21		(3) Lacks authentication.
22		Subject to these objections, EMPIRE
23		disputes this statement in that many of
24		the purported "empire" related marks
25		contained in the list submitted by Fox do
26		not contain the word "empire". For
27		example, Fox's list erroneously includes
28	27721572 2	7 -

1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3	ONCONTROVERTED FACTS	
4		the names: "ACE MEDIA CORP",
5		"TXTPIRE", "IE TREADS", "1494
		IMPERIO", "IMPERIO", "IMPERIO
6		SALSA", "EMPYRE", "IE", "IMPERIO
7		AJEDREZ", "MPIREBOYZ",
8		"VIGILAR IMPERIO", "IMPERIO
9		DEL TIEMPO", "EDM PIRE
10		APPAREL", "ES", "ESRT", "ESG",
11		"E.", "AURUM IMPERIUM",
12		"IMPERIO DOS ACORES", "YKE",
13		"MPIRE", "IMPIRE", "EPC",
14		"SHRIMP IMPERIO", "TEIKOKU
15		PHARMA USA", "ANTIGUO
16		IMPERIO", "IESHINEON.COM",
17		"IEHP MEDICARE DUALCHOICE",
18		and "FEMPIRE", among others.
19		Fox's Evidence:
20		Empire Search Results from
21		USPTO, attached to Reynolds
22		Decl. ¶ 2 as Ex. 1 at pp. 10-27.
23		EMPIRE's Evidence:
24		Empire Search Results from
25		USPTO, Reynolds Decl. ¶ 2 as
26		Ex. 1 at pp. 10-27.
27	12. Searches for corporations and	Objection.
28	12. Searches for corporations and	Objection.
	2772100272	8 -

1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3	limited liability companies/limited	EMPIRE objects to this statement on the
4	partnerships on California's Secretary of	following grounds:
5	State website for entity names with the	(1) Lacks foundation.
5	word "empire" generates over 5,000	(2) Hearsay.
7	results.	(3) Lacks authentication.
3	13. Empire Distribution is not the	Objection.
	only record label with the word	EMPIRE objects to this statement on the
	"empire" as part of its name.	following grounds:
		(1) Lacks foundation.
,		(2) Hearsay.
		(3) Lacks authentication.
	14. Defendant has admitted this case	Objection/Undisputed in part.
	constitutes its lone trademark	EMPIRE objects to this statement on the
	enforcement effort.	following grounds:
		(1) Vague and ambiguous as to the term
		"trademark enforcement effort."
		(2) Lacks foundation.
		Subject to these objections, EMPIRE
		does not dispute that it has not filed any
		other trademark infringement lawsuits.
	15. Fox has never used the name	Objection.
	"Empire Distribution" on or in	EMPIRE objects to this statement on
	connection with any of its products.	the following grounds:
		(1) Improper citations in violation of the
7		Court's Scheduling Order dated July 23,
3	27721652v2 - 1	9 -

1		
1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		2015 stating that "[n]o party should
4		submit any evidence other than the
5		specific items of evidence or testimony
6		necessary to support or controvert a
7		proposed statement of undisputed fact
8		" (Scheduling Order, p.4:12-17.)
9		(2) Lacks foundation.
10		(3) Hearsay.
11		(4) Irrelevant.
12	16. Columbia Records, a division of	Disputed in part.
13	Sony Music Entertainment, acts as the	EMPIRE does not dispute that Fox
14	record label for the <i>Empire</i> soundtrack	identifies Columbia Records as the
15	music and distributes <i>Empire's</i> original	"record label" for the <i>Empire</i> series
16	songs.	music, but denies the balance of the
17		request insofar as Fox exercises control
18		over Columbia's release of the music.
19		In fact, Fox omits the relevant portion of
20		paragraphs it cites from the declarations
21		of Bywater and Ross stating that the
22		release of the <i>Empire</i> series music is
23		done only "[w]ith the permission of
24		Fox." (Bywater Decl. ¶ 3, Ross Decl. ¶
25		2.) Moreover, Fox has used the
26		"Empire" name as a source-identifier
27		outside of the construct of the <i>Empire</i>
28		

1		
2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		series, including by suggesting, for
4		instance, that "Empire" or "Empire
5		Entertainment" or "Empire Enterprises"
6		is a real-life record label creating and
7		releasing the <i>Empire</i> series music.
8		Fox's Evidence:
9		• Bywater Decl. ¶ 3
10		• Ross Decl. ¶ 2-5
11		EMPIRE's Evidence:
12		• Bywater Decl. ¶ 3
13		• Ross Decl. ¶ 2
14		• Bowler Decl., ¶ 4, Ex. 69 at
15		22:3-5, 22:10-18, 22:25-23:1,
16		23:4-6; 23:8-9, 23:14-24:4;
17		24:8-25:7, 25:11-23, 32:23-
18		33:10, 33:14-15, 33:21-34:5,
19		34:9-10, 34:13-14, 34:16-22,
20		37:22-23, 38:2-10, 38:25-39:5,
21		39:7-13, 39:18-22; 59:4-7,
22		66:24-67:5, 67:8-21, 70:2-17,
23		70:21, 85:3-10, 85:14-17,
24		130:15-17, 130:20-22, 131:4-
25		6; 132:2-5, 132:14-17, 132:20-
26		24, 133:2-5, 133:9-13, 133:15-
27		16, 133:20, 133:24-134:1,
28		

FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
	134:9-10, 134:13-17, 134:20-
	137:11, 138:8-14, 138:17-24;
	139:20-140:11; 140:20-141:2;
	142:19-143:4; 143:15-20;
	144:8-17, 145:2-12, 158:5-8,
	158:11-21, 163:1-3, 163:10-
	164:1, 164:5-7, 164:11-
	164:24, 165:15-16, 165:19-21,
	167:3-4, 167:7, 208:3-6;
	209:15-24, 210:4-5, 210:8-1;
	Bywater Exs. 3, 4, 10, 11, 12,
	13, 30.
17. Empire: The Original Soundtrack	Objection.
From Season 1 is released and	EMPIRE objects to this statement on the
distributed with the album cover shown	following grounds:
in Exhibit 2 to the Ross Declaration.	(1) Hearsay.
	(2) Lacks foundation.
18. Empire: The Original Soundtrack	Objection.
Season 2 Volume 1 is released and	EMPIRE objects to this statement on the
distributed with the album cover shown	following grounds:
in Exhibit 3 to the Ross Declaration.	(1) Hearsay.
	(2) Lacks foundation.
19. Empire: The Original Soundtrack	Objection.
From Season 1 debuted as the number	EMPIRE objects to this statement on the
one album on the Billboard 200 chart for	following grounds:
27721652v2 - 20	2 -

	I	
1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3	the week of March 28, 2015.	(1) Hearsay.
4		(2) Lacks foundation.
5	20. Fox has sold hundreds of	Undisputed.
6	thousands of units of the music	
7	associated with <i>Empire</i> .	
8	21. <i>Empire's</i> Season 1 finale attracted	Undisputed.
9	more than 16 million same-day viewers.	
0	22. Empire's Season 2 premiere	Undisputed.
1	debuted to an audience of over 20	
2	million viewers.	
3	23. Consumers who purchase	Objection.
4	soundtracks are generally familiar with	EMPIRE objects to this statement on the
5	the underlying show or movie.	following grounds:
6		(1) Vague and ambiguous as to the
7		terms "soundtracks", "generally
8		familiar" and "underlying show or
9		movie".
0		(2) Lacks foundation.
1		(3) Hearsay.
2	24. Fox uses the marks shown in	Undisputed but incomplete.
3	Figures 2-5 of Complaint; Paragraph 21	EMPIRE does not dispute that Fox uses
4	of defendant's Counterclaims (first	the "EMPIRE" marks depicted in the
5	image); Paragraph 6 of the Declaration	pleadings and declarations cited.
6	of Shannon Ryan; and Exhibits 2-3 of	However, EMPIRE does not agree that
7	the Declaration of Andrew Ross.	these examples encompass all of Fox's
8	27721652v2 - '	23 -

FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
	uses of the "EMPIRE" marks.
25. Fox's <i>Empire</i> marks are generally	Objection/Disputed.
accompanied by the Fox house mark	EMPIRE objects to this statement on the
and/or well-known elements from the	following grounds:
show.	(1) Vague and ambiguous as to the
	terms "generally accompanied," "Fox
	house mark", and "well-known element
	from the show."
	(2) Lacks foundation.
	(3) Hearsay.
	Subject to these objections, EMPIRE
	disputes this assertion. In fact, Shannor
	Ryan contradicts this assertion in
	paragraph 6 of her declaration as severa
	of the "EMPIRE" logos she references
	are standalone "EMPIRE" logos withou
	any reference to Fox or the Empire
	series.
	Fox's Evidence:
	• Ryan Decl. ¶ 5
	EMPIRE's Evidence:
	• Ryan Decl. ¶ 6 Fig. 2, Fig. 6 &
	Fig. 7
26. Fox has spent millions of dollars	Objection.
to advertise and promote <i>Empire</i> and the	EMPIRE objects to this statement on the

EGED TED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
	following grounds:
	(1) Vague and ambigious as to the term
	"the Soundtracks."
	(2) Lacks foundation.
icted in	Objection/Undisputed in part.
Distribution's	EMPIRE objects to this statement on the
l as a prop on the	following grounds:
ed for sale.	(1) Lacks foundation.
	(2) Hearsay.
	(3) Irrelevant.
	Subject to these objections, EMPIRE
	does not dispute that the necklace may
	have been used as a prop on the Empire
	show but disputes that it was used
	exclusively as a prop on the show. In
	fact, Fox spent considerable time and
	effort promoting the necklace and city
	skyline graphic on Twitter, Instagram
	and other social media.
ne logos	Undisputed but incomplete.
16, 17, 18, 21	EMPIRE does not dispute that it uses the
ims.	logos identified in paragraphs 16, 17, 18,
	21 and 22. However, EMPIRE disputes
	that these are the only loos it has used
	that those are the only logos it has used.
	icted in Distribution's las a prop on the ed for sale.  ne logos 16, 17, 18, 21

### 1 FOX'S ALLEGED **EMPIRE'S RESPONSE AND** 2 **UNCONTROVERTED FACTS EVIDENCE** 3 29. Defendant alleges shared use of **Objection/Disputed.** 4 "online stores such as iTunes, Google EMPIRE objects to this statement on the 5 Play, Amazon.com, and Spotify" but following grounds: 6 does not allege in its Counterclaims that (1) This is not a statement of fact as 7 it advertises its marks on television, required by Local Rule 56-1 and this 8 billboards, or print media. Court's Scheduling Order dated July 23, 9 2015 (Dkt. 23, p.3 ¶5.) 10 (2) Irrelevant. 11 (3) Argumentative. 12 Subject to these objections, EMPIRE 13 disputes this assertion as there are 14 multiple such allegations (general and 15 specific) in the Counterclaims. 16 **Fox's Evidence**: 17 • Defendant's Counterclaims, 18 attached to Lens Decl. as Ex. 4 19 at p. 55 ¶ 23; pp. 47-71 20 **EMPIRE's Evidence:** 21 • EMPIRE's Counterclaims, 22 Lens Decl., Ex. 4 at $\P\P$ 9 23 ("Empire promotes, markets 24 and sells its music through 25 various channels of 26 commerce"), 10 ("sponsored 27 sold-out showcases under its 28

FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
	name at events such as South
	by Southwest"), 14 ("The
	Marks have been used
	prominently in press releases
	and coverage of those
	records"), 15 ("the Marks have
	been the subject of broad
	advertising and promotional
	campaigns, leading to a
	prominent presence, both
	online and offline"), 16 ("one
	of Empire's Marks was
	promoted in person at the
	A3C hip hop festival"), 18
	(poster at televised BET
	awards).
30. Defendant's examples of its	Disputed in part.
"Marks [being] promoted online" are	EMPIRE does not dispute that one
Instagram posts by its CEO, Ghazi	example in its Counterclaims of its
Shami, with the posting in Paragraph 16	marks being promoted online included a
receiving 25 likes.	post in October 2012 by EMPIRE's
	CEO Ghazi Shami which, at the time of
	the screenshot, had received 25 "likes."
	However, EMPIRE disputes that is the
	only example of online promotion of

1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		EMPIRE's marks provided in the
4		Counterclaims including multiple other
5		Instagram posts that received many
6		hundreds of "likes", online promotion on
7		EMPIRE's websites <a href="http://empi.re">http://empi.re</a> and
8		www.empiredistribution .com, and
9		promotion in online stores including
10		iTunes, Google Play, Amazon.com, and
11		Spotify.
12		Fox's Evidence:
13		<ul> <li>Defendant's Counterclaims,</li> </ul>
14		attached to Lens Decl. as Ex. 4
15		at pp. 52-53 ¶¶ 16-18
16		<b>EMPIRE's Evidence:</b>
17		• EMPIRE's Counterclaims,
18		Lens Decl., Ex. 4 at ¶¶ 9, 17,
19		18, 23, 26, 29
20	31. As of the date of filing, Ghazi	Objection.
21	Shami's Instagram account has fewer	EMPIRE objects to this statement on the
22	than 5,000 followers.	following grounds:
23		(1) Lacks foundation.
24		(2) Hearsay.
25	32. As of the date of filing, Empire	Objection.
26	Distribution's Instagram account has	EMPIRE objects to this statement on the
27	approximately 6,000 followers.	following grounds:
28	27721.572.2	28 _

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1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		(1) Lacks foundation.
4		(2) Hearsay.
5	33. As of the date of filing, Fox's	Objection.
6	Empire Instagram account has over 1.6	EMPIRE objects to this statement on the
7	million followers.	following grounds:
8		(1) Lacks foundation.
9		(2) Hearsay.
10	34. The screenshots of albums in	Disputed.
11	Paragraph 23 of defendant's	The first screenshot in paragraph 23 of
12	Counterclaims do not show defendant's	EMPIRE's Counterclaims (on the
13	marks; defendant highlighted the albums	bottom of page 9) shows EMPIRE's
14	it released.	marks including the marks "EMPIRE
15		Distribution" and "EMPIRE". The other
16		screenshots in paragraph 23 (pages 10,
17		11 and 12) also show EMPIRE's mark
18		"EMPIRE" albeit on several of the
19		albums released by Fox, which, as
20		shown, are sold in close proximity to
21		EMPIRE's music because they share the
22		same search terms and genres.
23		Fox's Evidence:
24		Defendant's Counterclaims,
25		attached to Lens Decl. as Ex. 4
26		at pp. 55-58 ¶ 23
27		EMPIRE's Evidence:
28		

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2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		<ul> <li>Defendant's Counterclaims,</li> </ul>
4		Lens Decl., Ex. 4 at pp. 55-58
5		¶ 23
6	35. The poster in Paragraph 27 of	Disputed.
7	defendant's Counterclaims lists "Yazz of	EMPIRE disputes this statement in that
8	Fox's Hit TV Show 'Empire.'"	Fox's assertion misstates and
9		mischaracterizes the content of the
10		poster in paragraph 27 of EMPIRE's
11		Counterclaims. The poster lists Dizzy
12		Wright, Hospin, Jarren Benton, Joey
13		Bada\$\$, Dej Loaf, Rayven Justie, Jay
14		305, Chedda Da Connect and Yazz "of
15		Fox's Hit Show 'Empire'". This
16		mistakenly suggests that all of these rap
17		artists are affiliated with the <i>Empire</i>
18		television series; however, Dizzy
19		Wright, Hospin, Jarren Benton, Rayven
20		Justice and Pia Mia are EMPIRE rap
21		artists not affiliated with the Empire
22		television series.
23		Fox's Evidence:
24		<ul> <li>Defendant's Counterclaims,</li> </ul>
25		attached to Lens Decl. as Ex. 4
26		at pp. 59-60 ¶ 27
27		EMPIRE's Evidence:
28	27721652v2 - 30	0 -
	EMDIDE DISTRIBUTION INC 'S STATEMENT O	E CENTINE DISDITES OF MATERIAL FACTIN

1		
1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		EMPIRE's Counterclaims,
4		Lens Decl., Ex. 4 at pp. 59-60
5		¶ 27
6	36. The Instagram comment	Disputed.
7	"#teamCookie" in Paragraph 29 of	EMPIRE disputes this statement in that
8	defendant's Counterclaims contains no	the Instagram comment in paragraph 29
9	other text.	of EMPIRE's Counterclaims contains
10		other highly relevant text that evidences
11		the confusion between EMPIRE and the
12		Empire television series. Shaggy, a
13		Grammy award winner and one of the
14		best selling reggae musicians of all time,
15		posted EMPIRE's logo on the internet
16		and commented #therealempire #empire
17		(referring to EMPIRE the record label).
18		In response to Shaggy's comment,
19		another user posted the comments
20		#loveit and #teamCookie (referring to
21		the lead character in the Empire
22		television series).
23		Fox's Evidence:
24		Defendant's Counterclaims,
25		attached to Lens Decl. as Ex. 4
26		at pp. 60-61 ¶ 29
27		EMPIRE's Evidence:
28		

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2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		EMPIRE's Counterclaims,
4		Lens Decl., Ex. 4 at pp. 60-61
5		¶ 29
6	37. The Facebook comment in	Undisputed but incomplete.
7	Paragraph 30 of defendant's	EMPIRE does not dispute that the rap
8	Counterclaims by GSlaps, states, in part,	and hip hop music company Glaps
9	that "@nima_empire agreed to have	Entertainment, posted a comment on its
10	Empire (NOT the TV show) digitally	Facebook site praising EMPIRE for the
11	distribute the music of @JohnnyPhrank	fame, success and longevity of the
12	and @Alocodaman; two artists who I	"Empire logo" over the years (clarifying
13	manage."	that it was referring to EMPIRE not the
14		Empire television show), which is set
15		forth in full in paragraph 30 of
16		EMPIRE's Counterclaims (Lens Decl.,
17		Ex. 4 at pp. 61-62 ¶ 30). However,
18		Fox's assertion includes only a select
19		portion of that comment taken out of
20		context.
21	38. When asked to state the basis for	Objection/Disputed in part.
22	its allegation of confusion in Paragraph	EMPIRE objects to this statement on the
23	20 of the Counterclaims, defendant	following grounds:
24	listed the examples found in its response	(1) This is not a statement of material
25	to Interrogatory No. 8.	fact as required by Local Rule 56-1 and
26		this Court's Scheduling Order dated July
27		23, 2015 (Dkt. 23, p.3 ¶5).
28	3	2 -

1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		(2) Argumentative.
4		Subject to these objections, EMPIRE
5		does not dispute that it listed certain
6		"examples" of confusion in its response
7		to Fox's First Set of Interrogatories,
8		Interrogatory No. 8. EMPIRE disputes
9		that these are the only examples, as
10		expressly stated in EMPIRE's response,
11		including the additional examples of
12		confusion set forth in EMPIRE's
13		additional material facts below.
14		(3) Irrelevant.
15		Fox's Evidence:
16		<ul> <li>Defendant's Responses To</li> </ul>
17		Fox's First Set of
18		Interrogatories, attached to
19		Lens Decl. as Ex. 5 at pp. 75-
20		77 (Interrogatory No. 8)
21		EMPIRE's Evidence:
22		• EMPIRE's Responses To
23		Fox's First Set of
24		Interrogatories, Lens Decl., Ex.
25		5 at pp. 75-77 (Interrogatory
26		No. 8)
27	39. Expert Deborah Jay concluded	Objection/Disputed.
28	27721652v2	- 33 -

# EMPIRE'S RESPONSE AND EVIDENCE

EMPIRE objects to this statement on the following grounds:

- (1) The expression of an opinion, in and of itself, is not a statement of fact as required by Local Rule 56-1 and this Court's Scheduling Order dated July 23, 2015 (Dkt. 23, p.3 ¶5).
- (2) Hearsay.
- (3) Lacks foundation.

Subject to these objections, EMPIRE does not dispute that the expert hired by Fox, Deborah Jay, submitted a report expressing, in part, this opinion.

However, EMPIRE strongly disputes both the opinion and the factual basis of the opinion as set forth in the rebuttal Expert Report of Dr. Joel H. Steckel and rebuttal Expert Report of Kristin J. Lieb.

### Fox's Evidence:

Report of Deborah Jay,
 attached to Lens Decl. as Ex. 8
 at p. 96

### **EMPIRE's Evidence:**

Bowler Decl., ¶ 8, Ex. 73
 (Expert Report of Dr. Kristen

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1 2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		J. Lieb) at ¶3, 34-45, 48;
4		Bowler Decl., ¶ 7, Ex. 72
5		(Expert Report of Dr. Joel H.
6		Steckel) at p. 9, ¶¶21, 22;
7		McDaniels Decl. ¶¶ 15-17;
8		Douthit Decl. ¶¶ 14; Julien
9		Decl. ¶¶ 22-26; Shami Decl.,
10		¶¶ 84, 92, 93, 94, 100, 114;
11		Simon Decl. ¶¶ 2-3; Bowler
12		Decl., ¶ 7-8, Ex. 72-73
13	Dilut	tion

Defendant has not identified any 40. evidence in its Counterclaims to support its conclusory assertions that its marks are widely recognized by the general consuming public.

## **Objection/Disputed:**

EMPIRE objects to this statement on the following grounds:

- (1) This is not a statement of material fact as required by Local Rule 56-1 and this Court's Scheduling Order dated July 23, 2015 (Dkt. 23, p.3 ¶5).
- (2) Irrelevant.
- (3) Argumentative.
- (4) Lacks foundation.

Subject to these objections, EMPIRE disputes this assertion as there is substantial evidence in EMPIRE's Counterclaims that EMPIRE's marks are

2	FOX'S ALLEGED UNCONTROVERTED FACTS	EMPIRE'S RESPONSE AND EVIDENCE
3		widely recognized by the general
4		consuming public.
5		
6		Fox's Evidence:
7		Defendant's Counterclaims,
8		attached to Lens Decl. as Ex. 4
9		at pp. 47-71
10		EMPIRE's Evidence:
11		• EMPIRE's Counterclaims,
12		Lens Decl., Ex. 4 at ¶¶ 9-19,
13		25-30.
14	41. Empire Distribution was formed	Undisputed but incomplete.
15	in June 2011.	Fox cites to an allegation in its
16		Complaint (Lens Decl., Ex. 2 at p. 11 ¶
17		19) and EMPIRE's Answer to the
18		Complaint (Lens Decl., Ex. 3 at p. 37 ¶
19		19) as evidence to support this statement
20		but includes only a select excerpt from
21		the allegation and fails to include the
22		remainder of the allegation including the
23		relevant portion stating that EMPIRE
24		began using "Empire Distribution'
25		and 'Empire Recordings' in
26		commerce [on] January 1, 2010."
27		
28	2	

2

## EMPIRE'S STATEMENT OF ADDITIONAL MATERIAL FACTS IN OPPOSITION TO MOTION FOR SUMMARY JUDGMENT

3		
4 5	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
6	42. Continuously since at least as	Hobbs Decl., ¶ 4.
7	early as January 2010, EMPIRE has	
8	used the mark "EMPIRE" alone and	
9	with other words as marks to signify	
10	services and products originating	
11	uniquely from EMPIRE.	
12	43. EMPIRE's common law	Hobbs Decl., ¶ 5.
13	trademark rights in the "EMPIRE" mark	
14	and variants date back to January, 2010.	
15	44. On January 24, 2014, EMPIRE	Hobbs Decl. ¶ 6, Ex. 1.
16	filed applications through counsel with	
17	the USPTO for its "EMPIRE" marks and	
18	received Serial Nos. 86174484 and	
19	86174393 for such applications. ("First	
20	Empire Applications").	
21	45. On December 10, 2014, EMPIRE	Hobbs Decl. ¶ 7, Ex. 1.
22	filed applications through counsel for	
23	the mark "EMPIRE Distribution" and	
24	received Serial Nos. 86476822 and	
25	86477123 for such applications.	
26	("Second Empire Applications").	
27	46. On April 8, 2015, EMPIRE filed	Hobbs Decl. ¶ 8, Ex. 1.
28	applications through counsel for the	
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1		
2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3		
	mark "EMPIRE" and received Serial	
4	Nos. 86590365, 86590402, 86590415	
5	and 86590385 for such applications.	
6	("Third Empire Applications").	
7	47. The EMPIRE Applications	Hobbs Decl. ¶ 8, Ex. 1.
8	include applications in International	
9	Trademark Classes 9 and 41.	
10	48. Finding no conflicting prior	Hobbs Decl. ¶¶ 9-10, Ex. 1.
11	applications or existing registrations and	
12	that the marks were entitled to	
13	registration, the USPTO has approved	
14	five of the EMPIRE Applications, which	
15	will be publishing on January 19, 2016	
16	49. EMPIRE's application for Serial	Hobbs Decl. ¶ 12, Ex. 1.
17	No. 86174393 for "EMPIRE" was filed	
18	January 24, 2014, nearly a year before	
19	Fox broadcast the first episode of the	
20	television program "EMPIRE" on	
21	January 7, 2015.	
22	50. The EMPIRE applications were	Hobbs Decl. ¶ 13.
23	filed without claim to any particular font	
24	style, size, design or color and protect	
25	and permit use of the Empire marks	
26	regardless of the font style, design, size	
27	or color.	
28		

EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
51. Of the over "650 registered or	Hobbs Decl. ¶ 14.
pending applications for 'empire' related	
marks" (SUF ¶ 11), many of the	
applications are for services unrelated to	
the services and products provided by	
Fox and EMPIRE under their marks.	
52. Of the over "650 registered or	Hobbs Decl. ¶ 15.
pending applications for 'empire' related	
marks" (SUF ¶ 11), the supporting	
marks for many of such applications or	
registrations are no longer in use, the	
marks have been abandoned and they are	
therefore not entitled to trademark rights	
or protection.	
53. Fox has filed two trademark	Hobbs Decl. ¶ 16, Ex. 2.
applications in the USPTO for the mark	
"Empire," in International Trademark	
Classes 9 and 41, asserting trademark	
rights therein and seeking the benefits of	
U.S. federal trademark registrations.	
54. Fox has shown a pattern of filing	Hobbs Decl. ¶ 17, Ex. 3.
trademark applications in the USPTO	
for the names of television programs that	
it broadcasts, asserting trademark rights	
therein and seeking the benefits of U.S.	
27721652v2 - 3°	9 - E CENTINE DISDLITES OF MATERIAL FACT IN

EMPIRE'S ADDITIONAL	EMPIRE'S EVIDENCE
MATERIAL FACTS	
federal trademark registrations.	
55. Fox has shown a pattern of filing	Hobbs Decl. ¶ 18, Ex. 4.
trademark opposition and cancellation	
actions before the Trademark Trial and	
Appeal Board ("TTAB") of the USPTO	
arising out of the names of programs	
that it broadcasts, asserting trademark	
rights therein and seeking the benefits of	
U.S. trademark rights in the names of its	
television programs.	
56. Since January 2010, EMPIRE has	Shami Decl., ¶¶ 6-21
established itself as a leading record	
label and music distribution company	
for urban music, including hip-hop, rap,	
and R&B in the United States, releasing	
over 11,000 albums/singles, 6,000 music	
videos and 85,000 songs.	
57. EMPIRE has worked with an	Shami Decl., ¶¶ 7, 9-19
extensive list of well-known hip hop,	
rap, and R&B musical artists in the	
world, including but not limited to, T.I.,	
Snoop Dogg, Kendrick Lamar, Trinidad	
James, Too \$hort, The Game, Mally	
Mall, Rich Homie Quan, Tyga, Shaggy,	
Migos, Busta Rhymes, Fat Joe, Sage the	
	0

1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	Gemini, Cam'ron, Jim Jones, Rocko,	
4	Gladys Knight, Rae Sremmurd, and	
5	many more.	
6	58. EMPIRE helped launch the	Shami Decl., ¶ 8
7	careers of a number of rap and hip hop	
8	hit-makers including, for example,	
9	Grammy Award winning, multi-	
10	platinum recording artist, Kendrick	
11	Lamar.	
12	59. EMPIRE released eleven of the	Shami Decl., ¶ 20
13	top one hundred hip-hop songs of 2015	
14	listed by Spotify (a commercial music	
15	streaming service with over 75 million	
16	active users) including the number one	
17	song, "Flex" by Rich Homie Quan.	
18	60. EMPIRE has four albums on	Shami Decl., ¶ 20
19	Rolling Stone's list of the 40 Best Rap	
20	Albums of 2015, four tracks in	
21	Pitchfork's Best Songs of 2015, and	
22	three artists in Pigeons & Planes' New	
23	Artists for 2016 list.	
24	61. What began as EMPIRE	Shami Decl., ¶¶ 22-29
25	Distribution in 2010 has grown into a	
26	full-service music company under the	
27	umbrella brand name "EMPIRE," which	
28	27721652v2 - 4	1 -

EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
includes "EMPIRE Distribution"	
(distribution services), "EMPIRE	
Recordings" (recording and label), and	
"EMPIRE Publishing" (publishing	
services).	
62. EMPIRE has been using	Shami Decl., ¶ 31
"EMPIRE" as a standalone trademark in	
association with its music business	
continuously since at least as early as	
January 2010.	
63. EMPIRE has used the primary	Shami Decl., ¶ 31
branding "EMPIRE" sometimes in	
association with other words such as	
"Distribution" and "Recordings," to	
identify the services that EMPIRE	
provides and the products associated	
with those services under the umbrella	
EMPIRE brand.	
64. Prior to the first airing of Fox's	Shami Decl., ¶ 34
"Empire" television series, EMPIRE had	
six pending trademark applications.	
65. By April of 2015, EMPIRE had	Shami Decl., ¶ 34
an additional four pending trademark	
applications.	
66. Five of these ten trademark	Shami Decl., ¶ 34
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1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	applications – three for EMPIRE and	
4	two for EMPIRE DISTRIBUTION – are	
5	expected to be published by the United	
6	States Patent and Trademark Office in	
7	January 2016.	
8	67. EMPIRE's brand is represented in	Shami Decl., ¶¶ 35, 36
9	the marketplace in several ways,	Snami Deci., ∥∥ 33, 30
0	including, but not limited to, the logos	
1	depicted in paragraph 35 of the	
2	declaration of Ghazi Shami.	
3	68. Advertising and publicity	Shami Decl., ¶ 37
4	campaigns began immediately on	Shaini Deci.,   37
5	EMPIRE's launch in 2010 and increased	
6	markedly beginning in late 2013 and	
7	early 2014.	
8	69. EMPIRE spent approximately	Shami Decl., ¶ 37
9	\$650,000 in 2014 in marketing,	Sham Deel.,    37
0.	advertising, and promotion and	
1	approximately \$2.5 million in 2015 on	
22	advertising, marketing, and promotional	
23	expenses.	
24	70. The EMPIRE logo is used in a	Shami Decl., ¶¶ 38-40
25	variety of advertising and promotional	Ziaini 200ii, ∥∥ 30 i0
26	contexts including, for example, iTunes	
27	CD covers, billboards, concerts, and	
28	CD COVERS, Officered, Concerts, and	1

4		
1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	promotional items.	
4	71. EMPIRE is a well known, highly	Shami Decl., ¶¶ 35-61; McDaniels
5	respected and influential record label	Decl. ¶¶ 4-8; Douthit Decl. ¶¶ 11-14;
6	and music distribution company.	Julien Decl. ¶¶ 7-11
7	72. EMPIRE has sold millions of	Shami Decl., ¶ 44
8	songs distributed or recorded under the	
9	EMPIRE marks.	
10	73. Record labels, including	Bowler Decl., ¶ 8, Ex. 73 (Expert
11	EMPIRE, are important to consumers in	Report of Dr. Kristen J. Lieb) at ¶3, 12-
12	the urban music genre.	22, 46; McDaniels Decl. ¶¶ 9-14;
13		Douthit Decl. ¶¶ 7-10; Julien Decl. ¶¶
14		12-21; Shami Decl., ¶¶ 62-83
15	74. EMPIRE's reputation is being	Shami Decl., ¶¶ 90, 93
16	seriously damaged by Fox's	
17	unauthorized use of the "Empire" name	
18	for the Empire Series and Empire Series	
19	Music.	
20	75. EMPIRE and Fox are competing	Shami Decl., ¶¶ 95-113
21	for the sale of the same type of music to	
22	the same customers in the same places.	
23	76. Fox's Empire marks are nearly	Shami Decl., ¶¶ 35, 96; Ryan Decl., ¶ 6
24	identical to EMPIRE's marks.	
25	77. Given their overlapping	Shami Decl., ¶¶ 84, 92, 93, 94, 100,
26	businesses, there is likely to be	114; McDaniels Decl. ¶¶ 15-17;
27	consumer confusing between EMPIRE,	Douthit Decl. ¶¶ 14; Julien Decl. ¶¶ 22-
28	27721652 2	4 -

EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
on the one hand, and Fox's Empire	26; Simon Decl. ¶¶ 2-3
television series and music, on the other	
hand.	
78. There have been many instances	Shami Decl., ¶¶ 115-135, Exs. 8-24;
of actual confusion expressed by artists	Simon Decl. ¶¶ 2-3; McDaniels Decl.
and consumers between EMPIRE, on the	¶¶ 15-17; Douthit Decl. ¶¶ 14; Julien
one hand, and Fox's Empire television	Decl. ¶¶ 22-26
series and music, on the other hand.	
79. There are consumers and artists	McDaniels Decl. ¶¶ 15-17; Douthit
who have expressed confusion as to	Decl. ¶¶ 14; Julien Decl. ¶¶ 22-26;
whether the products bearing EMPIRE's	Shami Decl., ¶¶ 84, 92, 93, 94, 100,
marks were sponsored or approved by	114115-135, Exs. 8-24; Simon Decl.
Fox or the <i>Empire</i> television series.	2-3
80. There are consumers and artists	McDaniels Decl. ¶¶ 15-17; Douthit
who have expressed confusion as to	Decl. ¶¶ 14; Julien Decl. ¶¶ 22-26;
whether the <i>Empire</i> series and the	Shami Decl., ¶¶ 84, 92, 93, 94, 100,
Empire series related products were	114115-135, Exs. 8-24; Simon Decl. ¶
sponsored or approved by EMPIRE.	2-3
81. Numerous consumers, artists, and	McDaniels Decl. ¶¶ 15-17; Douthit
EMPIRE's business partners have	Decl. ¶¶ 14; Julien Decl. ¶¶ 22-26;
expressed confusion to EMPIRE as to	Shami Decl., ¶¶ 84, 92, 93, 94, 100,
whether the fictional "Empire" program	114115-135, Exs. 8-24; Simon Decl. ¶
has any affiliation or business	2-3
relationship with the real-life EMPIRE.	
82. Fox has used the "Empire" name	Bywater Decl. ¶ 3; Ross Decl. ¶ 2;

1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	as a source-identifier outside of the	Bowler Decl., ¶ 4, Ex. 69 at 22:3-5,
4	construct of the Empire series.	22:10-18, 22:25-23:1, 23:4-6; 23:8-9,
5		23:14-24:4; 24:8-25:7, 25:11-23, 32:23-
6		33:10, 33:14-15, 33:21-34:5, 34:9-10,
7		34:13-14, 34:16-22, 37:22-23, 38:2-10,
8		38:25-39:5, 39:7-13, 39:18-22; 59:4-7,
9		66:24-67:5, 67:8-21, 70:2-17, 70:21,
10		85:3-10, 85:14-17, 130:15-17, 130:20-
11		22, 131:4-6; 132:2-5, 132:14-17,
12		132:20-24, 133:2-5, 133:9-13, 133:15-
13		16, 133:20, 133:24-134:1, 134:9-10,
14		134:13-17, 134:20-137:11, 138:8-14,
15		138:17-24; 139:20-140:11; 140:20-
16		141:2; 142:19-143:4; 143:15-20; 144:8-
17		17, 145:2-12, 158:5-8, 158:11-21,
18		163:1-3, 163:10-164:1, 164:5-7, 164:11-
19		164:24, 165:15-16, 165:19-21, 167:3-4,
20		167:7, 208:3-6; 209:15-24, 210:4-5,
21		210:8-1; Bywater Exs. 3, 4, 10, 11, 12,
22		13, 30.
23	83. Empire has been involved in	Shami Decl. ¶¶ 136-141
24	network television programming and	
25	intends to continue using television-	
26	based media to promote and grow its	
27	brand.	
28		

1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3 4 5 6 7 8	84. Fox's purported survey expert, Philip Johnson, conducted a survey purporting to measure the extent of "reverse confusion" between the Empire's marks and the <i>Empire</i> series and <i>Empire</i> series music.	Bowler Decl., ¶ 6, Ex. 71 (Johnson Deposition Transcript) at 20:2-10
9 10 11 12 13 14	85. Fox's purported survey expert, Deborah Jay, conducted a survey purporting to measure the extent of "forward confusion" between the Empire's marks and the <i>Empire</i> series and <i>Empire</i> series music.	Lens Decl., ¶ 11, Ex. 8.
15 16 17 18	86. The survey conducted by Philip Johnson does not provide a valid scientific basis for measuring the extent of reverse confusion in this matter.	Bowler Decl., ¶ 7, Ex. 72 (Expert Report of Dr. Joel H. Steckel) at p.9, ¶21
19 20 21 22 23 24 25	<ul> <li>87. Philip Johnson's choice of stimuli inhibited respondents from linking the stimuli with Empire.</li> <li>88. Philip Johnson's survey question wording minimized the possibility of respondents linking the stimuli with Empire.</li> </ul>	Bowler Decl., ¶ 7, Ex. 72 (Expert Report of Dr. Joel H. Steckel) at p.9, ¶21  Bowler Decl., ¶ 7, Ex. 72 (Expert Report of Dr. Joel H. Steckel) at p.9, ¶21
<ul><li>26</li><li>27</li><li>28</li></ul>	89. Philip Johnson's survey did not measure confusion among consumers	Bowler Decl., ¶ 6, Ex. 71 at 21:11-14, 21:16-21, 21:23-22:3, 22:5-18.

1		
2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	who have encountered both parties'	
	marks.	
5	90. Deborah Jay's survey results do	Bowler Decl., ¶ 7, Ex. 72 (Expert
6	not generalize beyond the situation	Report of Dr. Joel H. Steckel) at p.9,
7	where a consumer is watching a	¶22
8	television trailer or commercial.	
9	91. In particular, Deborah Jay's	Bowler Decl., ¶ 7, Ex. 72 (Expert
10	survey results could not be generalized	Report of Dr. Joel H. Steckel) at p.9,
11	to a situation when a consumer searches	¶22
12	for the <i>Empire</i> television show or its	
13	soundtrack or hip hop music generally	
14	on a platform like iTunes or Google Play	
15	or Google search engine.	
16	92. Deborah Jay's survey cannot be	Bowler Decl., ¶ 7, Ex. 72 (Expert
17	used to measure confusion amongst the	Report of Dr. Joel H. Steckel) at p.9,
18	subset of consumers who participate in	¶22
19	the activities mentioned above.	
20	93. Deborah Jay's survey did not	Bowler Decl., ¶ 5, Ex. 70 (Jay
21	account for the <i>Empire</i> Series Music.	Deposition Transcript) at 20:2-3, 20:6-
22		11, 20:16-21:18, 21:23, 21:25-22:15,
23		22:19-23:4.
24	94. Record labels are important to	Bowler Decl., ¶ 8, Ex. 73 (Expert
25	consumers of rap, hip hop, and R&B	Report of Dr. Kristen J. Lieb) at ¶3, 12-
26	music.	22, 46; McDaniels Decl. ¶¶ 9-14;
27		Douthit Decl. ¶¶ 7-10; Julien Decl. ¶¶
28		

1		
1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3		12-21; Shami Decl., ¶¶ 62-83
4	95. EMPIRE is a well-known and	Shami Decl., ¶¶ 6-21, 35-61; Bowler
5	respected label in the rap and hip hop	Decl., ¶ 8, Ex. 73 (Expert Report of Dr.
6	music genre/space.	Kristen J. Lieb) at ¶3, 24-33, 47;
7		McDaniels Decl. ¶¶ 4-8; Douthit Decl.
8		¶¶ 11-14; Julien Decl. ¶¶ 7-11;
9		McDaniels Decl. ¶¶ 4-8; Douthit Decl.
10		¶¶ 11-14; Julien Decl. ¶¶ 7-11
11	96. EMPIRE receives significant	Bowler Decl., ¶ 3, Exs. 42-68.
12	press in industry and national	
13	publications.	
14	97. Some consumers are likely to be	Bowler Decl., ¶ 8, Ex. 73 (Expert
15	confused regarding the affiliation	Report of Dr. Kristen J. Lieb) at ¶3, 34-
16	between EMPIRE's use of the brand or	45, 48; McDaniels Decl. ¶¶ 15-17;
17	term "Empire" and Fox's use of the term	Douthit Decl. ¶¶ 14; Julien Decl. ¶¶ 22-
18	"Empire" in connection with the <i>Empire</i>	26; Shami Decl., ¶¶ 84, 92, 93, 94, 100,
19	television series and sales of the <i>Empire</i>	114; Simon Decl. ¶¶ 2-3
20	series music.	
21	98. On July 31, 2015, Fox served its	Villar Decl. ¶ 2, Ex. 25
22	Initial Disclosures pursuant to Fed. R.	
23	Civ. P. 26(a)(1) identifying only six	
24	individuals including: Geoff Bywater	
25	(SVP, Television Music); Jeremy	
26	Kaufman (VP, Intellectual Property, Fox	
27	Group Legal); Vibiana Molina (SVP,	
28	44	

	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
Busi	ness Affairs); Shannon Ryan (EVP,	
Mark	xeting and Communications); Mei-	
lan S	tark (SVP, Intellectual Property,	
Fox	Group Legal); and Michael Thorn	
(EVI	P, Development).	
99.	Shannon Ryan, whom Fox has not	Bowler Decl., ¶ 4, Ex. 69 at 32:12-22.
made	e available for deposition, has	
signi	ficant relevant knowledge regarding	
Emp	ire Series Music marketing and	
pron	notional efforts.	
100.	Fox has not yet produced a	Bowler Decl., ¶ 4, Ex. 69 at 45:23-47
witne	ess on the selection of the name	47:4.
"Em	pire" for the <i>Empire</i> Series.	
101.	Fox has not yet produced a	Bowler Decl., ¶ 4, Ex. 69 at 49:13-15.
witne	ess on whether Fox conducted	49:19.
trade	emark searches prior to adopting the	
name	e "Empire."	
102.	Fox has not yet provided a	Bowler Decl., ¶ 4, Ex. 69 at 150:3-9.
witne	ess with knowledge of Fox's	
mark	teting spend on <i>Empire</i> Series	
Musi	ic.	
103.	Fox has not produced the witness	Bowler Decl., ¶ 4, Ex. 69 at 165:23-
most	knowledgeable regarding sales data	166:5, 166:11-15.
for th	ne Empire Series Music.	
104.	There were many other	Villar Decl. ¶ 3

OPPOSITION TO FOX'S MOTION FOR SUMMARY JUDGMENT

1		
2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	individuals known to Fox who have	
4	discoverable information who were not	
5	identified in Fox's Initial Disclosures	
6	including, for example, the creators and	
7	executive producers of the <i>Empire</i>	
8	series, Daniel Strong and Lee Daniels,	
9	and other employees of Fox and	
10	Columbia Records who release music	
11	from the <i>Empire</i> series.	
12	105. Fox filed seven declarations in	Villar Decl. ¶ 3
13	support of its Motion for Summary	
14	Judgment, five of which are purported	
15	percipient witnesses (Melissa Blazek,	
16	Geoff Bywater, Tracey Raftery, Andrew	
17	Ross, and Shannon Ryan) and two of	
18	which are Fox's attorneys of record,	
19	Molly Lens and Farrah Reynolds of	
20	O'Melveny & Myers LLP.	
21	106. Three of the five (60%) percipient	Villar Decl. ¶ 3
22	witnesses (Melissa Blazek, Tracey	
23	Raftery, and Andrew Ross) who	
24	submitted declarations in support of	
25	Fox's Motion for Summary Judgment	
26	were not disclosed in Fox's Initial	
27	Disclosures Pursuant to Fed. R. Civ. P.	
28		

EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
26(a)(1).	
07. As of the date EMPIRE's	Villar Decl. ¶ 4
opposition was due, Fox had not	
amended or supplemented its Initial	
Disclosures pursuant to Fed. R. Civ. P.	
26(e).	
08. Despite serving a First Request	Villar Decl. ¶ 7
for Production of Documents on July 17,	
2015, Fox did not make its initial	
production documents until on or about	
December 7, 2015, nearly five months	
later and just 9 days before filing its	
Motion for Summary Judgment.	
09. Despite serving numerous	Villar Decl. ¶ 11
subpoenas on August 11, 2015, and	
reaching agreement on the scope of	
production with Fox's counsel regarding	
Fox Music in October 2015 and	
regarding Lee Daniels and Daniel Strong	
in November 2015, these witnesses have	
not produced a single document	
responsive to the subpoenas as of the	
date EMPIRE's opposition was due.	
10. As of the date EMPIRE's	Villar Decl. ¶ 20
opposition was due, Fox has only made	

1	EMPIRE'S ADDITIONAL	EMPIRE'S EVIDENCE
2	MATERIAL FACTS	
3	one percipient witness, Geoff Bywater,	
4	available for deposition.	
5	111. As of the date EMPIRE's	Villar Decl. ¶ 25
6	opposition was due, Fox had not	
7	provided any confirmed dates for the	
8	depositions of expert witnesses Ted	
9	Cohen and Erich Joachimsthaler.	
10	112. EMPIRE is unable to present facts	Villar Decl. ¶¶ 2-34
11	essential to oppose Fox's Motion for	
12	Summary Judgment because the	
13	depositions, documents and other	
14	discovery that EMPIRE has requested	
15	from Fox is directed at issues raised by	
16	Fox in its Motion including, but not	
17	limited to, the origin and Fox's selection	
18	of the <i>Empire</i> name; Fox's knowledge of	
19	the EMPIRE marks (including Fox's	
20	trademark searches relating to the	
21	EMPIRE marks); Fox's marketing	
22	expenses and promotional efforts	
23	relating to the <i>Empire</i> series and <i>Empire</i>	
24	series music; sales data for the <i>Empire</i>	
25	series music; the strength of the remarks	
26	at issue; the proximity of the goods; the	
27	similarity of the marks; the evidence of	
28		

1		
2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	actual and likely confusion; the	
4	marketing channels used; the type of	
5	goods and the degree of care likely to be	
6	exercised by the purchaser; Fox's intent	
7	in selecting the mark; and the likelihood	
8	of expansion of the product lines.	
9	113. Fox once owned a record label,	Bowler Decl., ¶ 4, Ex. 69 (Bywater
10	Fox Records, but it did not succeed	Deposition Transcript) at 15:22, 16:3-7.
11	because it was not "set up properly."	
12	114. Music has been a focus of Fox's	Bowler Decl., ¶ 4, Ex. 69 at 15:8-17:2,
13	business for years; for example, Geoff	17:6-19:9, 19:11-19:24, 20:2-5, 21:16-
14	Bywater rose through the ranks at Fox to	22:2
15	become Head of Music by working in	
16	Fox's dedicated music department for	
17	film and television.	
18	115. Music became such a major part	Bowler Decl., ¶ 4, Ex. 69 at 18:22-19:9,
19	of Fox's television business that Fox	19:11-17/
20	created a television music department	
21	separate from film.	
22	116. Fox, like any major record label,	Bowler Decl., ¶ 4, Ex. 69 at 22:3-5,
23	signs musical artists to extensive music	22:10-18, 22:25-23:1, 23:4-6; 23:8-9,
24	contracts, including exclusive "360	23:14-24:4; 24:8-25:7, 25:11-23;
25	deals" which cover recording,	130:15-17, 130:20-22, 131:4-6; 132:2-
26	publishing, merchandising, name and	5, 132:14-17, 132:20-24, 133:2-5,
27	likeness rights, live concert touring	133:9-13, 133:15-16, 133:20, 133:24-
28	ـ	,

EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
rights, etc.	134:1, 134:9-10, 134:13-17, 134:20-
	137:11; Bywater Exs. 10, 11
17. Fox enters into contractual	Bowler Decl., ¶ 4, Ex. 69 at 138:8-14,
agreements that record labels	138:17-24; 139:20-140:11; 140:20-
traditionally enter into, including at least	t 141:2; 142:19-143:4; 143:15-20; 144:8
artist agreements, label waiver	17, 145:2-12; Bywater Exs. 10, 11, 12
agreements, songwriter agreements,	13.
publishing agreements, composer	
agreements, licensing agreements, and	
remixer agreements, for the creation of	
Empire Series Music.	
18. Fox is "develop[ing] talent" like	Bowler Decl., ¶ 4, Ex. 69 at 24:14-25:
record label and cannot afford to "have	
them go someplace else," and therefore	
requires its artists to sign extensive,	
exclusive music contracts with Fox.	
19. Fox uses <i>Empire</i> to launch solo	Bowler Decl., ¶ 4, Ex. 69 at 70:23-71:
careers for its artists.	71:8-17, 71:20.
20. Like a record label, Fox is seeking	g Bowler Decl., ¶ 4, Ex. 69 at 126:14-
to locate and retain unknown musical	127:18, 127:25-128:4, 128:12-129:15.
artist talent.	
21. Fox entities, known collectively	Bowler Decl., ¶ 4, Ex. 69 at 26:12-15,
as "Fox," own the rights to the Empire	26:18-25, 27:4-8, 27:11, 27:16-21,
Series and the <i>Empire</i> Series Music.	27:25-5; 100:11-14, 100:17-21.
122. Fox's <i>Empire</i> Series Music team	Bowler Decl., ¶ 4, Ex. 69 at 32:2-11.

1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	collaborates with Fox Broadcasting	
4	Company to market <i>Empire</i> Series	
5	Music through appearances on TV, and	
6	by providing music to content providers	
7	on a regular basis for radio and online	
8	advertising.	
9	123. Fox maintains a merchandising	Bowler Decl., ¶ 4, Ex. 69 at 32:23-
10	business unit that is responsible for	33:10, 33:14-15, 33:21-34:5.
11	creating "Empire" branded merchandise,	
12	which consists of promotional items.	
13	124. The music model for Fox's	Bowler Decl., ¶ 4, Ex. 69 at 34:9-10,
14	massively popular musical television	34:13-14.
15	show "GLEE" is similar to the music	
16	model for <i>Empire</i> , and served as the	
17	"prototype" for <i>Empire</i> .	
18	125. "GLEE" demonstrated the	Bowler Decl., ¶ 4, Ex. 69 at 34:16-22.
19	significant business potential associated	
20	with using music on television.	
21	126. Fox partners with Columbia	Bowler Decl., ¶ 4, Ex. 69 at 34:23-35:1,
22	Records to release music for "GLEE"	35:8-9, 35:12.
23	and Empire.	
24	127. Unlike "GLEE," <i>Empire</i> creates	Bowler Decl., ¶ 4, Ex. 69 at 36:6-11;
25	almost all original music geared toward	36:24-25; 37:3-7, 50:5, 50:8-9, 51:10-
26	the urban music genres.	11, 51:16-52:1.
27	128. Empire Series Music was	Bowler Decl., ¶ 4, Ex. 69 at 36:12-23.
28	5.	4

EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
designed fill a gap in the marketplace,	
and has therefore significantly	
contributed to <i>Empire</i> 's success.	
129. The <i>Empire</i> Series and <i>Empire</i>	Bowler Decl., ¶ 4, Ex. 69 at 54:8-22,
Series Music is targeted toward African	54:25-55:9, 55:13-15, 55:24-56:1, 56::
Americans and over-performs in larger	8; 106:11-24.
urban markets.	
130. The <i>Empire</i> Series Music concept	Bowler Decl., ¶ 4, Ex. 69 at 40:16-41:
was developed early in the Empire	41:13-16, 41:19-42:1, 45:11-12, 45:15
Series development process.	46:13, 46:16-21.
131. Fox uses original music for	Bowler Decl., ¶ 4, Ex. 69 at 37:22-23,
Empire as opposed to licensed non-	38:2-10, 38:25-39:5, 39:7-13, 39:18-2
original music at least because it allows	66:24-67:5, 67:8-21, 70:2-17, 70:21;
Fox to use the song in advertising not	Bywater Exs. 3,4
only for the <i>Empire</i> Series but for "any	
of its ancillary businesses."	
132. Timbaland, a well-respected	Bowler Decl., ¶ 4, Ex. 69 at 42:3-8,
musical artist and producer, is the	43:12-18, 43:25-44:2, 44:6-11.
executive music producer for <i>Empire</i>	
Series Music.	
133. Timbaland works with a team of	Bowler Decl., ¶ 4, Ex. 69 at 62:6-9,
established music industry partners to	62:12-63:2, 63:11-12, 63:16-64:1, 65:
write, produce and finalize the <i>Empire</i>	6, 65:9-11.
Series Music for distribution to	
consumers.	

EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
34. Fox releases three to five songs	Bowler Decl., ¶ 4, Ex. 69 at 58:17-19.
used in each episode of the <i>Empire</i>	
Series.	
35. <i>Empire</i> Series Music is often	Bowler Decl., ¶ 4, Ex. 69 at 79:21-80:
released before the Empire Series	81:16-18, 98:16-17, 98:20-99:15,
episode is aired.	99:18-100:10; 113:4-6, 113:11-13.
36. Approximately 65 songs are	Bowler Decl., ¶ 4, Ex. 69 at 59:4-7.
released per season of the <i>Empire</i> Series.	
37. Consumers recognize <i>Empire</i>	Bowler Decl., ¶ 4, Ex. 69 at 207:6-7,
Series Music by the song title.	207:11-15.
38. <i>Empire</i> Series Music is marketed	Bowler Decl., ¶ 4, Ex. 69 at 59:18-22
to and available to all consumers, not	60:1-10.
just consumers who have seen the	
Empire Series television show.	
39. A team of writers consults with	Bowler Decl., ¶ 4, Ex. 69 at 48:22-25
Fox regarding the way that real-life	49:3-5.
record labels operate.	
40. The <i>Empire</i> Series depicts artists	Bowler Decl., ¶ 4, Ex. 69 at 61:22-25
creating original songs in a recording	
studio.	
41. The <i>Empire</i> Series depicts artists	Bowler Decl., ¶ 4, Ex. 69 at 62:1-3.
giving live performances.	
42. Real-life musical artists appear on	Bowler Decl., ¶ 4, Ex. 69 at 72:24-13
and release songs through <i>Empire</i> .	
43. Real-life musical artists appear on	Bowler Decl., ¶ 4, Ex. 69 at 73:16-18,
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EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
Empire to "bring more reality into the	73:23-74:17, 75:18-24, 83:25-84:7,
show."	84:11-21.
144. Real-life rapper Snoop Dogg	Bowler Decl., ¶ 4, Ex. 69 at 89:10-25,
appeared on <i>Empire</i> as himself to release	90:1, 90:8-14, 91:2-3, 91:6-7, 91:10-17,
in real-life on iTunes an independently	92:4-7, 92:10-19; 93:1-11; Bywater Ex.
created single called "Peaches N Cream"	7.
that was portrayed as being recorded at	
and released in partnership with <i>Empire</i> .	
145. Duets with real-life artists	Bowler Decl., ¶ 4, Ex. 69 at 124:21-
improve sales of <i>Empire</i> Series Music.	125:16.
146. Fox values having talent that	Bowler Decl., ¶ 4, Ex. 69 at 71:22-24,
could "hold up their own in music" to	72:5-7, 72:9-10, 72:14.
lend authenticity and realism to the	
Empire Series.	
147. <i>Empire</i> is "really deep in the	Bowler Decl., ¶ 4, Ex. 69 at 83:25-84:7,
world of music" and Fox wants "to	84:11-21; Bywater Ex. 6.
make that world feel very real."	
148. Fox partners with Columbia to	Bowler Decl., ¶ 4, Ex. 69 at 94:21-23,
release <i>Empire</i> Series Music in popular	95:1-19, 95:22-97:9, 99:2-99:15, 99:18-
formats (digital and physical) and	100:10; 101:8-102:13; 108:11-13,
through traditional distribution channels	108:16-23.
(i.e., iTunes, Spotify, Pandora, Walmart,	
Target, etc.).	
149. Fox contemplated rolling out a	Bowler Decl., ¶ 4, Ex. 69 at 104:13-22;
digital-only format for the Empire Series	105:7-22; Bywater Ex. 8.
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EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
Music.	
150. Empire Series Music has appeared	Bowler Decl., ¶ 4, Ex. 69 at 67:23-68:
on the Billboard Top 100 and 200 charts,	68:7-10, 68:16-17, 68:20-69:2, 77:13-
including at No. 1, as well as urban, rap,	23, 78:2-4; 114:12-23; 114:24-115:1,
R&B, and hip hop genre-specific charts.	115:5-7; 172:10-16, 172:18-25.
151. Empire Series Music has appeared	Bowler Decl., ¶ 4, Ex. 69 at 170:12-14
on iTunes hip hop, urban, and album	Bywater Ex. 16.
charts.	
152. Fox has sold just under 500,000	Bowler Decl., ¶ 4, Ex. 69 at 163:1-3,
physical albums of <i>Empire</i> Series Music.	163:10-164:1.
153. Fox has sold approximately 3	Bowler Decl., ¶ 4, Ex. 69 at 164:5-7,
million downloads comprising	164:11-164:24, 165:15-16, 165:19-21
individual track sales of Empire Series	
Music.	
154. The largest consumption of	Bowler Decl., ¶ 4, Ex. 69 at 102:14-18
Empire Series Music is through	102:22-103:5; 104:20-22.
streaming services such as Spotify.	
155. <i>Empire</i> Series Music has been	Bowler Decl., ¶ 4, Ex. 69 at 167:3-4,
streamed well over 100 million times.	167:7.
156. Empire Series Music sells for	Bowler Decl., ¶ 4, Ex. 69 at 110:3-11.
approximately \$13 per album, and \$1	
per song.	
157. Fox monitors sales data for the	Bowler Decl., ¶ 4, Ex. 69 at 161:18-25
Empire Series Music through platforms	162:9-12; Bywater Ex. 17.
such as SoundScan.	

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1 2	EMPIRE'S ADDITIONAL MATERIAL FACTS	EMPIRE'S EVIDENCE
3	158. As with "Glee," Fox maintains	Bowler Decl., ¶ 4, Ex. 69 at 120:18-
4	ownership of the <i>Empire</i> Series Music	121:18; 122:13-123:1, 146:21-147:12;
5	and has entered into an unexecuted	148:8-24, 149:6-12, 149:15; Bywater
6	profit-sharing agreement with Columbia	Exs. 14, 15
7	Records.	
8	159. Fox's first distribution of	Bowler Decl., ¶ 4, Ex. 69 at 158:5-8,
9	under its agreement with	158:11-21.
10	Columbia was for approximately	
11		
12	160. Like "GLEE," Fox intends	Bowler Decl., ¶ 4, Ex. 69 at 85:3-10,
13	Empire to become a big piece of the	85:14-17.
14	music business through touring and	
15	marketing.	
16	161. A dedicated marketing plan is	Bowler Decl., ¶ 4, Ex. 69 at 123:12-
17	created with respect to the <i>Empire</i> Series	124:3.
18	Music.	
19	162. Fox maintains approval rights	Bowler Decl., ¶ 4, Ex. 69 at 155:16-24,
20	over the marketing plan for the <i>Empire</i>	156:2-23; Bywater Exs. 23, 25.
21	Series Music.	
22	163. Fox has considered a live concert	Bowler Decl., ¶ 4, Ex. 69 at 86:12-14,
23	tour for Empire Series music, in which	86:17-24; 87:3-11.
24	Columbia will have no stake.	
25	164. Fox has promoted <i>Empire</i> Series	Bowler Decl., ¶ 4, Ex. 69 at 189:16-
26	Music at the South by Southwest music	190:7, 190:10-12; Bywater Ex. 24.
27	festival.	
28		

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EMPIRE'S ADDITIONAL	EMPIRE'S EVIDENCE
MATERIAL FACTS	
165. Fox seeks and enters into	Bowler Decl., ¶ 4, Ex. 69 at 196:11-
promotional partnerships involving	197:6; Bywater Ex. 27.
Empire Series Music, including with	
Pepsi.	
166. Fox has promoted <i>Empire</i> Series	Bowler Decl., ¶ 4, Ex. 69 at 200:10-11,
Music on the BET television channel,	200:18-201:5; Bywater Ex. 29.
including via live performances.	
167. <i>Empire</i> is promoted on iHeart	Bowler Decl., ¶ 4, Ex. 69 at 81:19-82:3,
Radio.	82:6-7; Bywater Ex. 5.
168. Fox intends <i>Empire</i> to build a	Bowler Decl., ¶ 4, Ex. 69 at 208:3-6;
music business and consumer products	209:15-24, 210:4-5, 210:8-14; Bywater
brand.	Ex. 30.
169. Fox's Head of Music, Geoff	Bowler Decl., ¶ 4, Ex. 69 at 202:1-
Bywater, is familiar with a number of	205:3.
EMPIRE's very famous clients,	
including some that have been pitched to	
appear on or have actually appeared on	
the Empire Series.	
170. EMPIRE pled a forward and	Bowler Decl., ¶¶ 10-11.
reverse confusion case.	
171. Fox was aware of EMPIRE's	Bowler Decl., ¶¶ 10-12.
forward and reverse confusion claims.	
	Including via live performances.  In Fox intends Empire to build a music business and consumer products brand.  In Fox's Head of Music, Geoff EMPIRE's very famous clients, including some that have been pitched to appear on or have actually appeared on the Empire Series.  MATERIAL FACTS  In Fox seeks and enters into promotion involving with promotion with promotion including with performances.  In Fox has promoted Empire Series and including via live performances.  In Empire is promoted on iHeart Radio.  In Empire to build a music business and consumer products brand.  In Fox was aware of EMPIRE's

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OPPOSITION TO FOX'S MOTION FOR SUMMARY JUDGMENT

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